

(19) World Intellectual Property Organization  
International Bureau



(43) International Publication Date  
1 February 2001 (01.02.2001)

PCT

(10) International Publication Number  
**WO 01/07162 A1**

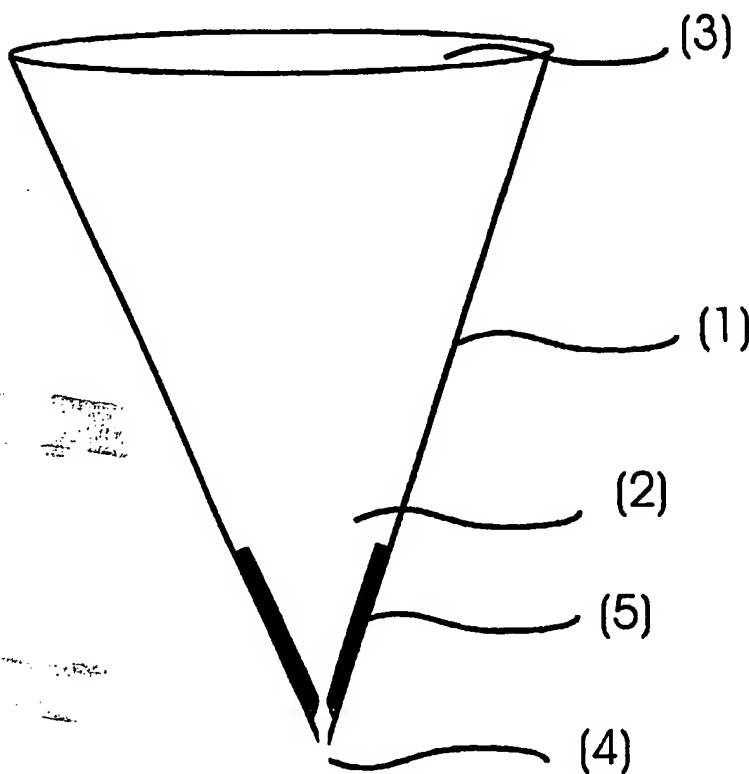
- (51) International Patent Classification<sup>7</sup>: **B01L 3/02** (72) Inventors: SHUKLA, Mukta, M.; 10423 Popkins Court, Woodstock, MD 21163 (US). SHUKLA, Amita, M.; 10423 Popkins Court, Woodstock, MD 21163 (US).
- (21) International Application Number: PCT/US00/40462
- (22) International Filing Date: 24 July 2000 (24.07.2000) (74) Agent: BAKER, Hollie, L.; Hale and Dorr LLP, 60 State Street, Boston, MA 02109 (US).
- (25) Filing Language: English (81) Designated State (national): JP.
- (26) Publication Language: English (84) Designated States (regional): European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).
- (30) Priority Data:  
60/145,559 26 July 1999 (26.07.1999) US
- (71) Applicant: HARVARD APPARATUS, INC. [US/US]; 84 October Hill Road, Holliston, MA 01746 (US). Published:  
— With international search report.

(71) Applicant and

(72) Inventor: SHUKLA, Ashok, K. [US/US]; 10423 Popkins Court, Woodstock, MD 21163 (US).

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: SURFACE COATED HOUSING FOR SAMPLE PREPARATION



(57) Abstract: This invention relates to a novel method for small sample preparation using a tube or column, such as a pipette tip, in which the interior surface is coated with a solid matrix for sample preparation. Said solid matrix is composed of a polymeric substance such as polytetrafluoroethylene (PTFE) and one or more column materials such as reactive or absorptive materials suited for sample filtration, separation or purification. The desired sample, containing bio-molecules such as DNA, proteins or other molecular components, is passed through said tube or column, which may be a pipette tip, or like structure.

WO 01/07162 A1

**TITLE: SURFACE COATED HOUSING FOR SAMPLE PREPARATION**

**INVENTORS:** Ashok K. Shukla, Mukta M. Shukla and Amita M. Shukla, 10423 Popkins Court, Woodstock, MD 21163. Ph. (410) 997-0100  
Provisional Application No. 60/145,559  
Filing Date: 07/26/99

**10 FIELD OF THE INVENTION**

This invention relates to a novel method for small sample preparation using a tube or column, such as a pipette tip, in which the interior surface is coated with a solid matrix for sample preparation. Said solid matrix is composed of a polymeric substance such as polytetrafluoroethylene (PTFE) and one or more column materials such as reactive or absorptive materials suited for sample filtration, separation or purification. The desired sample, containing bio-molecules such as DNA, proteins or other molecular components, is passed through said tube or column, which may be a pipette tip, or like structure.

Depending on the specifications of the column materials in the solid matrix, selected molecules from the sample can be separated or purified by binding to, or by being entrapped in, the column material components of the solid matrix. The bound molecules can later be eluted from the solid matrix by the use of different solvents. The tube described in the present invention has an opening at the top end, through which the sample is introduced into the tube and an open end at the bottom, through which selected

components of the sample flow through during the sample separation process. Said tube may be of any shape or size in any configuration suitable for a given set of experimental conditions. The present invention is suited for  
5 samples with volumes from nanoliters to milliliters.

#### BACKGROUND OF THE INVENTION

10 Although a spectrum of analytical methods for small sample separation and purification have been developed, a number of problems, such as the slow speed of the separation process and the loss of sample volumes, limit the quality of currently available methods. The present invention  
15 describes a small sample preparation method that both speeds up the sample purification and separation process and minimizes the extent of sample loss. This invention is a method for sample preparation that uses a tube or column where the interior surface of said tube or column is coated  
20 with a solid matrix. The solid matrix contains a polymeric substance such as polytetrafluoroethylene (PTFE), as well as, column materials such as reactive or absorptive materials suited for sample filtration, size-based separation or purification. The column material can be  
25 composed of chromatographic media such as gel-filtration, ion-exchange, reverse-phase, and silica or modified silica media.

As mentioned above, currently available methods for the  
30 separation and purification of micro volumes of samples often result in undesirable sample loss. Since the volumes of desired molecules, such as proteins or bio-molecules, are often very small, the loss of even small volumes in such samples can represent a significant portion of the total

sample. In currently available methods, sample loss often results due to the presence of filters or other components in the separation column. For example, currently available methods that use a filter or chromatographic material plug at the bottom of a pipette tip often result in the loss of sample on the filter or in the matrix containing the chromatography material. Since the volume of such a filter or plug may sometimes be as large as the volume of the micro sample itself, sample loss can be quite significant and is often accompanied by a slowed rate of separation. Also, different solvents interact differently with the filter itself further adding variation to the quality of the separation or purification of a particular sample.

One method that is currently available is the ZipTip developed by Millipore. This system consists of a micropipette tip that contains a cast of the column material in a porous matrix that is formed as a plug at the lower open end of the tip. Since the casted material plugs the open end through which the sample is pulled into the tip, however, the flow of the sample through the plug and into the tip may be slowed down or impeded by the plug. Furthermore, when this system is used in a multi-sample configuration such as a 96-well plate, there may be inconsistency in the quantity of sample that is absorbed into the different tips on the same plate and in the quality of the sample separation process itself.

In the invention described herein, the solid matrix is applied to the tube or pipette tip such that it coats the interior sides of the tube without significantly obstructing the flow of the sample through the lower opening of the tip. The solid matrix may be affixed to the interior walls of the tube using any physical or chemical methods that include,

but are not limited to adhesion, heat, pressure and etching. For optimal sample separation, the sample can be aspirated back and forth multiple times to ensure optimal binding of the desired bio-molecules to the column material in the  
5 solid matrix. The bio-molecules can then be eluted from the solid matrix using different solvents.

The solid matrix coating is composed of one or more inert materials such as PTFE (polytetrafluoroethylene) and  
10 the desired column materials. Said desired column materials adhere to the inner surface of the tube when used in combination with said inert materials resulting in a solid matrix that is effective for sample separation. Sample separation and purification tubes designed with such an  
15 interior coat of the solid matrix are highly effective because the sample can flow more easily through the tube, column or pipette tip chamber and it is in contact with a greater surface area of the coated solid matrix containing the column material. The quality of sample preparation is  
20 also enhanced due to increased consistency in performing the same procedure whether in a single or simultaneous, multi-tip framework.

25 The various features of novelty, which characterize the invention, are pointed out with particularity in the claims annexed to and forming a part of this disclosure. For a better understanding of the invention, its advantages and objects, reference is made to the accompanying drawings and  
30 descriptive matter in which a preferred embodiment of the invention is illustrated.

**BRIEF DESCRIPTION OF THE DRAWINGS**

The foregoing and still other objects of this invention  
5 will become apparent, along with various advantages and  
features of novelty residing in the present embodiments,  
from study of the following drawings, in which:

Figure 1 is an expanded view of a tube, a pipette tip,  
10 coated on the interior with a solid matrix, according  
to the present invention.

Figure 2 is an expanded view of the lower opening of a  
tube, a pipette tip, coated on the interior with a  
15 solid matrix, according to the present invention.

**DESCRIPTION OF THE PREFERRED EMBODIMENTS**

Referring to the drawings, Figure 1 shows a tube (1),  
20 in this instance a pipette tip that has a bottom end (2) and  
a top end (3) and an opening (4) in said bottom end. The  
tube (1) is coated on its interior sides with the solid  
matrix (5), as shown toward the bottom end of the tube in  
Figure 1. The solid matrix (5) is composed of both an inert  
25 material and a chromatographic material. Said inert  
material particles, which may consist of a combination of  
one or more different inert materials, aggregate and during  
aggregation the chromatographic material particles are  
entrapped/embedded within them resulting in the solid  
30 matrix.

The tube, shown in figure 1 as a pipette tip, can be  
made of any material and in any configuration depending on

the specifications of a given experiment. Said tube (1) may enclose a volume from 0.0001 to 100 milliliters. Said tube can be of any shape or size and can be composed of combination of one or more different polymer materials from  
5 the group consisting of, but not limited to, polytetrafluoroethylene, polysulfone, polyethersulfone, cellulose acetate, polystyrene, polystyrene/acrylonitrile copolymer and PVDF. One or both ends of said tube may be tapered and said tube can consist of a configuration where  
10 the inner diameter of said bottom end (2) is less than the inner diameter of said top end (3). The coating (5) may be located anywhere on the interior surface of said tube (5).

The chromatographic material can be silica, non-silica, polymer-based, active charcoal, zirconium, titanium or other  
15 materials. The solid matrix, which can be in powder form or woven or non-woven sheet form, can consist of one or more chromatographic materials (such as a mix of cation and anion exchange materials). The column material can also consist of other chromatographic media, gels, bacteria, living cells  
20 or solid powder.

The chromatographic material particles can be chemically or physically modified and may be porous or non-porous. The sizes of the inert or chromatographic material particles can be from nanometers to micrometers.

25 The tube can be in a singular format or part of a multiple-tube format such as 8, 12, 96, 384 or 1536 - well micropipette plates. For example, 96 tips coated with the solid matrix in the interior can be used for the simultaneous preparation of up to 96 samples. Such multi-tip  
30 configurations can be designed with different numbers of tips forming the multi-tip system.

The tube (1) can have a cap or other mechanism to close one or both ends of the holding. Such a cap or similar device may or may not be attached to holding.

The broader usefulness of the invention may be  
5 illustrated by the following examples.

#### Example 1. Purification of cytochrome c

10 In This experiment, we used a 10-200 microliter pipette tip that was coated with T-30 (Teflon dispersion from Dupont) containing 150 mg C-4 silica powder. 50 microliters of solution was pulled in the pipette tip and ejected. The solution remaining on the pipette-tip walls was air dried and washed several times with distilled water and  
15 isopropanol solution. After drying, the tip was used to purify a sample containing cytochrom-C and Tris/SDS buffer. The cytochrom-c solution was pulled at-least 10-20 times into the pipette-tip and then the pipette-tip was washed with water to remove the salt and SDS. The cytochrom-C which  
20 was bound to the coating on the tip was eluted with 70% isopropanol and water. The eluted solution was analyzed by HPLC.

#### Example 2. Purification of albumin

25 This experiment is similar to Example 1. In place of cytochrom-C, bovine albumine was used and analyzed by HPLC.

30 While a specific embodiment of the invention has been shown and described in detail to illustrate the application of the principles of the invention, it is understood that the invention may be embodied otherwise without departing from such principles and that various modifications,  
35 alternate constructions, and equivalents will occur to those skilled in the area given the benefit of this disclosure and the embodiment described herein, as defined by the appended claims.

## WHAT IS CLAIMED IS

1. A tube enclosing a volume which is made of a polymer and is open at one or both ends and in which the interior surface is coated with a solid matrix for a method such as the purification and separation of small sample volumes.
2. A tube as in claim 1 where said tube may be of any shape or configuration with a first open or closed end and a second open or closed end.
3. A tube as in claim 1 where said tube consists of one of the group of pipette tips, containers, columns, vials and chromatography columns.
4. A tube as in claim 1 where the inner diameter of said first open or closed end is larger than the diameter of said second open or closed end.
5. A tube as in claim 1 where one or both ends may be tapered.
6. A tube as in claim 1 where said polymer consists of one or more polymers from the group consisting of but not limited to polytetrafluoroethylene, polysulfone, polyethersulfone, cellulose acetate, polystyrene, polystyrene/acrylonitrile copolymer and PVDF.
7. A tube as in claim 1 where said second open end is open after the solid matrix has been coated on the interior of said tube.

8. A tube as in claim 1 where said enclosed tube volume is between 0.0001 and 100 ml.
9. A method as in claim 1, where said method is the  
5 purification of proteins, DNA and other biomolecules.
10. A solid matrix as in claim 1, where said solid matrix consists of one or more inert materials and one or more column materials.
- 10 11. A solid matrix as in claims 1 or 10 where said inert materials in said solid matrix consists of any inert materials.
- 15 12. A solid matrix as in claims 1 or 10 where said inert materials are composed of polytetrafluoroethylene, polysulfone, polyethersulfone, cellulose acetate, polystyrene, polystyrene/acrylonitrile copolymer and PVDF.
- 20 13. A solid matrix as in claims 1 or 10 where said column materials in said solid matrix are composed of particles selected from but not limited to the group consisting of silica, polystyrene, carbon, and polymers.
- 25 14. A solid matrix as in claims 1 or 10 where said column material particles can be chemically or physically modified to enhance the quality of separation.
- 30 15. A tube as in claim 1 where said tube contains a pistol or similar device designed to pull the sample into the tube or push it out of the tube.

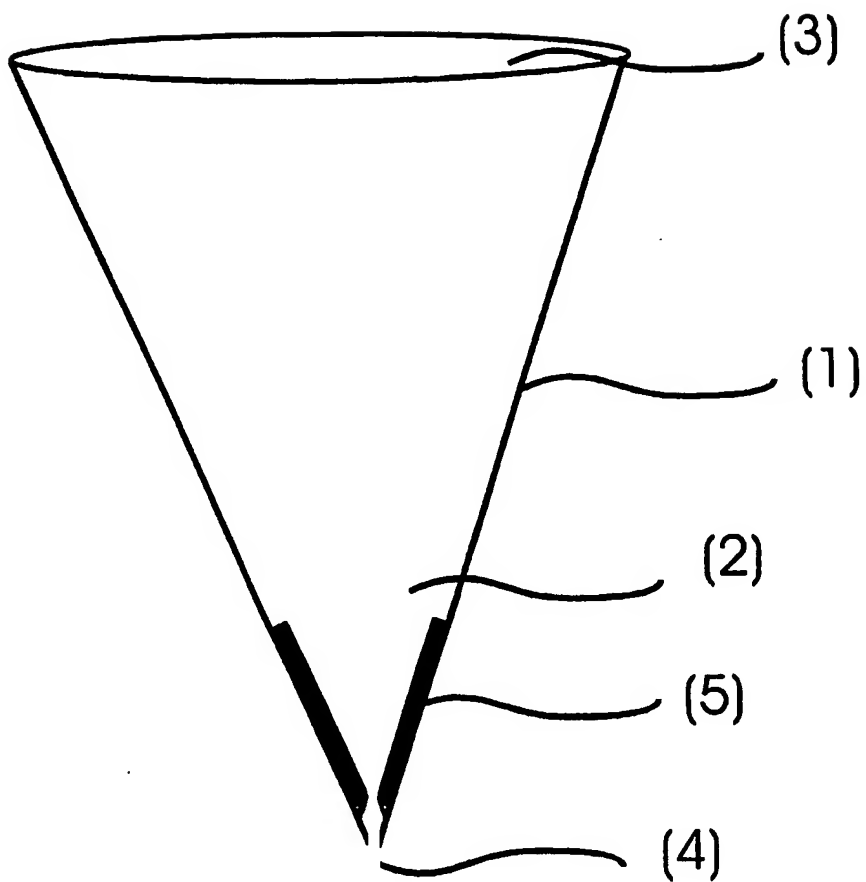


Figure 1

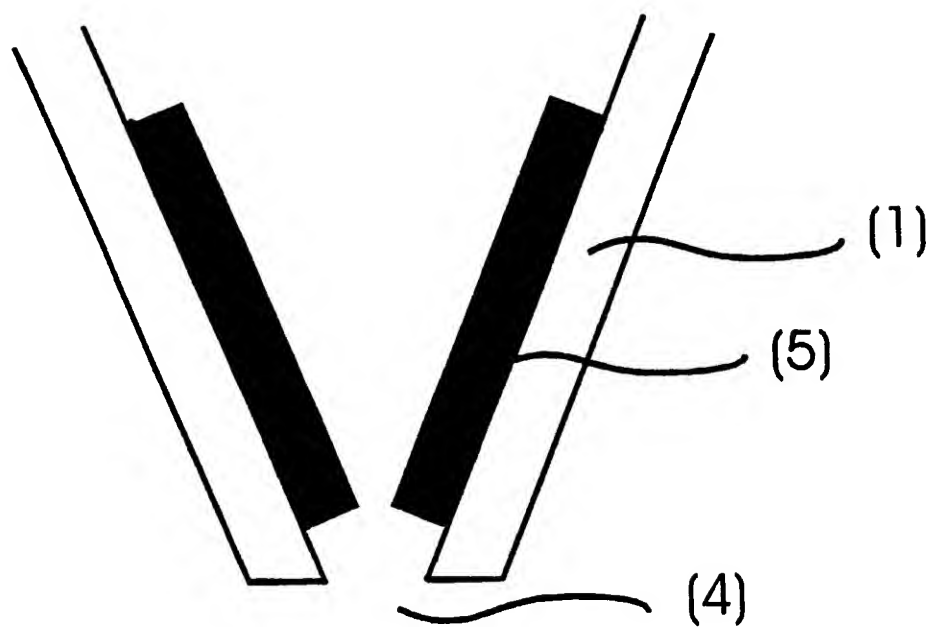


Figure 2

# INTERNATIONAL SEARCH REPORT

Intern 1st Application No  
PCT/US 00/40462

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 B01L3/02

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)  
IPC 7 B01L G01N

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 4 384 958 A (WISEBAKER SANDRA M ET AL) 24 May 1983 (1983-05-24) column 3, line 4-21 column 3, line 58-63 ---	1-4,6-10
X	FR 2 498 331 A (KADOUCHE JEAN) 23 July 1982 (1982-07-23) page 4, line 30 -page 5, line 4 page 6, line 29-38 ---	1-5,15
A	US 5 660 797 A (JAERVIMAEMI KARI) 26 August 1997 (1997-08-26) column 1, line 64 -column 2, line 73 --- -/--	1-9,15

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

### \* Special categories of cited documents :

- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier document but published on or after the international filing date
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed

- "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- "&" document member of the same patent family

Date of the actual completion of the international search

1 November 2000

Date of mailing of the international search report

08/11/2000

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
Fax: (+31-70) 340-3016

Authorized officer

Zinngrebe, U

## INTERNATIONAL SEARCH REPORT

Internat'l Application No

PCT/US 00/40462

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	PETERS T L: "A SYRINGE MOUNTABLE MICRO ADSORBENT COLUMN FOR THE CONCENTRATION OF ORGANICS" RESEARCH DISCLOSURE, GB, INDUSTRIAL OPPORTUNITIES LTD. HAVANT, no. 399, 1 July 1997 (1997-07-01), page 453 XP000726581 ISSN: 0374-4353 the whole document -----	1,3
A	EP 0 197 729 A (KELLY DONALD P ;CLEERE WILLIAM FRANCIS (IE)) 15 October 1986 (1986-10-15) page 6, paragraphs 1,2 -----	1,3
A	WO 98 37949 A (KOPACIEWICZ WILLIAM ;ARNOLD TODD E (US); GOEL VINAY (US); MILLIPOR) 3 September 1998 (1998-09-03) page 4, last paragraph -page 5, paragraph 2; figure 2A -----	1,10-14

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US 00/40462

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
US 4384958 A	24-05-1983	NONE	
FR 2498331 A	23-07-1982	NONE	
US 5660797 A	26-08-1997	AU 676233 B AU 5422994 A DE 69316992 D DE 69316992 T EP 0668795 A FI 952275 A WO 9411108 A JP 8505083 T	06-03-1997 08-06-1994 19-03-1998 28-05-1998 30-08-1995 10-05-1995 26-05-1994 04-06-1996
EP 0197729 A	15-10-1986	NONE	
WO 9837949 A	03-09-1998	AU 722581 B AU 6186198 A CN 1248926 T EP 1015098 A US 6048457 A	10-08-2000 18-09-1998 29-03-2000 05-07-2000 11-04-2000